



BEST AVAILABLE COPY

# Rule Maintenance

Version 2.1.1

204 Product: EAUG-PA  
206 Market: PARE  
208 Priority: 1  
210 Individual Client: ☐  
212 Client Group: ☐  
214 Booking Rep: ☐  
216 Booking Rep Group: ☐  
220 Side: All ☒  
222 Volume: 300  
224 Value (USD): ☐  
226 Value (Local): ☐  
228 Limit: ☐  
230 Spread Ticks: ☐  
232 Action: Send To Exchange ☒  
234 Send To: LEVEGL ☐  
236 Firm Account: 191H ☐  
238 From: 08:00:00 (hh:mm:ss GMT)  
240 To: 20:00:00 (hh:mm:ss GMT)  
242 Is Active: ☐

250 252

Fig. 2

DATA MAINTENANCE

Rules

Thresholds

Broker/Mkt Limits

RULE ACTIVATION

Rule Status

Threshold Status

Broker/Mkt Limits

DATA EDITING

Edit Client Group

Edit Booking Reps

DATA VIEWING

Market: ☐

Broker: ☐

SEARCH BY RIC

Print Rule Data

# Rule Status

Version 2.1.1

Fig. 3

302 {

AMSE	Enabled	Product	Priority	From	To	Side	Volume	USD Value	Local Value	Unit Price	Spread	Units	Action	Update
	<input type="checkbox"/>		1	06:00:00	20:00:00	All	< 1000000.0						Send To Exchange	Details

FRAE	Enabled	Product	Priority	From	To	Side	Volume	USD Value	Local Value	Unit Price	Spread	Units	Action	Update
	<input type="checkbox"/>		1	08:00:00	20:00:00	All	< 1000000.0						Send To Exchange	Details

304

LNSE	Enabled	Product	Priority	From	To	Side	Volume	USD Value	Local Value	Unit Price	Spread	Units	Action	Update
	<input type="checkbox"/>		1	08:00:00	20:00:00	All	< 1000000.0						Send To Exchange	Details

306 →

308

MADE	Enabled	Product	Priority	From	To	Side	Volume	USD Value	Local Value	Unit Price	Spread	Units	Action	Update
	<input type="checkbox"/>		1	08:00:00	18:00:00	All	< 100000.0						Send To Exchange	Details

MILE	Enabled	Product	Priority	From	To	Side	Volume	USD Value	Local Value	Unit Price	Spread	Units	Action	Update
	<input type="checkbox"/>		1	08:00:00	20:00:00	All	< 1000000.0						Send To Exchange	Details

300

PARE	Enabled	Product	Priority	From	To	Side	Volume	USD Value	Local Value	Unit Price	Spread	Units	Action	Update
	<input type="checkbox"/>		1	08:00:00	20:00:00	All	< 1000000.0						Send To Exchange	Details
	<input type="checkbox"/>	EAUG PA	1	08:00:00	20:00:00	All	<= 300.0						Send To Exchange	Details
	<input type="checkbox"/>	SOGN PA	1	08:00:00	18:00:00	All	< 5000.0						Send To Exchange	Details

300

302

302

STOE	Enabled	Product	Priority	From	To	Side	Volume	USD Value	Local Value	Unit Price	Spread	Units	Action	Update
	<input type="checkbox"/>		1	08:00:00	20:00:00	All	< 1000000.0						Send To Exchange	Details

DATA MAINTENANCE

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RULE ACTIVATION

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Broker/Mkt Limits

DATA EDITING

Edit Client Group

Edit Booking Reps

DATA VIEWING

Market :

Broker :

SEARCH BY RIC

Refresh Rule Data

Equity trading rules maintenance - Microsoft Internet Explorer provided by Goldman Sachs & Co

File Edit View Favorites Tools Help

Back Forward Stop Reload Home

Address: http://www.gs.com/...

# Threshold Maintenance

Version 2.1.1

404 Product

406 Market

408 Action

410 Type

412 Value

414 Alert Level

416 Alert Only

418 Is Active

STOE

Send To Exchange

Local Value

10000

75

Save Cancel

420 422

Fig. 4

DATA MAINTENANCE

Rules

Thresholds

Broker/Mkt Limits

RULE ACTIVATION

Rule Status

Threshold Status

Broker/Mkt Limits

DATA EDITING

Edit Client Group

Edit Booking Reps

DATA VIEWING

Market: ☐

Broker: ☐

SEARCH BY RIC

Refresh Data



# Market Pipe Maintenance

Version 2.1.1

604 — Send To: SEMGL

606 — Type: Local Value ☒

608 — Value: 1000000

610 — Alert Level: 50

612 — Is Active: ☒

602

600

SAVE OK Cancel

Fig. 6

## DATA MAINTENANCE

- Rules
  - Thresholds
  - Broker/Mkt Limits
- RULE ACTIVATION
  - Rule Status
  - Threshold Status
  - Broker/Mkt Limits

- DATA EDITING
  - Edit Client Group
  - Edit Booking Reps

## DATA VIEWING

Market :

Broker :

## SEARCH BY RIC

Refresh Data



# Market Pipe Status

Version 2.1.1

702

KASAGL

Enabled	Type	Value	AlertLevel (%)	Details
<input checked="" type="checkbox"/>	Volume	2500	90	

706

LEVEGL

Enabled	Type	Value	AlertLevel (%)	Details
<input type="checkbox"/>	Dollar Value	10000	50	

710

SEYIGL

Enabled	Type	Value	AlertLevel (%)	Details
<input checked="" type="checkbox"/>	Local Value	1000000	50	

712

Selection

714

Alert Selection

Fig. 7

## DATA MAINTENANCE

### Rules

#### Thresholds

Broker/Mkt Limits

## RULE ACTIVATION

### Rule Status

Threshold Status

Broker/Mkt Limits

## DATA EDITING

Edit Client Group

Edit Booking Reps

## DATA VIEWING

Market :

Broker :

SEARCH BY RIC

Back